

Account Holder: \_\_\_\_\_ Account # \_\_\_\_\_ Custodian: \_\_\_\_\_

Advisor Name: \_\_\_\_\_ |

Account Allocation Instructions	Contribution Elections	Distribution Elections	Model Investment Objective Legend:							
			(1) Aggressive	(2) Growth	(3) Growth & Income	(4) Income	(5) Preserve Capital			
			Note: If blank, Contribution and Distribution Elections will be aligned to match the Account Allocation Instructions as indicated. Selecting 'One Time' will apply the election to this transaction only.							
	<input type="checkbox"/> One Time	<input type="checkbox"/> One Time	<b>Tactical Allocation</b>							
%	%	%	Verity Conservative Total Return (30)	Additional 15 basis point fee						
%	%	%	Verity Tactical All Asset (03)							
%	%	%	Verity Concentrated Rotation (133)							
			<b>Asset Allocation-Mutual Fund Only (All Platforms)</b>							
%	%	%	Verity Conservative Asset Allocation (113)							
%	%	%	Verity Balanced Growth (111)							
%	%	%	Verity Dynamic Growth (112)							
%	%	%	Verity Focused Growth (117)							
			<b>Passive / Active Allocation</b> <span style="float: right;">\$10,000 Minimum Investment</span>							
%	%	%	First Ascent Global Explorer Series (Additional 25 basis point fee on first \$200,000 in each model)							
			20 40 60 80 100 Indicate for: _____ Tax Sensitive Series							
			<b>U.S. Equity</b> <span style="float: right;">\$10,000 Minimum Investment</span>							
%	%	%	Verity Domestic Equity Opportunity (90)							
%	%	%	Verity Tactical Multi-Cap Value (75)	Strategy Level Pricing, Per Strategy						
%	%	%	Verity Multi-Cap Value (81)	Additional 45 basis point fee, First \$50,000						
%	%	%	Verity Small/Mid Cap Value (60)	Additional 40 basis point fee, Next \$50,000						
			<b>Global Equity</b>							
%	%	%	Verity International Equity & Income (103)	Additional 35 basis point fee, Above \$100,000						
			<b>Income</b>							
%	%	%	Verity Opportunistic Income	Additional 35 basis point fee on First \$100,000						
				Additional 30 basis point fee Above \$100,000						
%	%	%	Verity Enhanced Income (99)	Flat 60 basis point Advisory Fee, \$50,000 minimum						
%	%	%	Verity Tax-Exempt Income US (101)	Flat 90 basis point Advisory Fee, \$50,000 minimum						
			<b>Separate Account Strategies</b>							
%	%	%	Verity Dividend Builder (104)	Additional 35 basis point fee, \$250,000 minimum investment						
%	%	%	Verity Bond Ladder / Fixed Income (104)	\$100,000 minimum investment						
			Additional 30 basis point fee, applicable first year only; 10 basis point fee thereafter							
			* The First Year will run from the initial funding through the end of the fourth full calendar quarter.							
%	%	%	Verity Capital Advisory Program (139)	\$1,000,000 minimum investment						
			Additional 30 basis point fee, applicable first year only; 10 basis point fee thereafter							
			* The First Year will run from the initial funding through the end of the fourth full calendar quarter.							
			<b>Other</b>							
%	%	%								
%	%	%								
%	%	%								
100%			<b>Non-Managed Assets</b> <span style="float: right;">No Management Fee</span>							
			Transaction fees are not included in the above fee schedule.							

Model Change Instructions							
\$	%	Sell From Model:					
		To Purchase Model:					
\$	%	Sell From Model:					
		To Purchase Model:					

This is an account change under the terms of the Client's Investment Advisory Agreement with Verity Asset Management (the Firm) and is subject to all provisions of that Agreement, including any revisions, updates or subsequent versions of that Agreement.

Owner's Name - Please Print \_\_\_\_\_

Owner's Signature \_\_\_\_\_

Date \_\_\_\_\_