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Important Information Regarding **Verity Asset Management** and Advisory Services for Your **Retirement Plan** Accounts

Verity Asset Management is an independent advisory firm that provides services on a private basis directly to individual investors. Verity is not affiliated in any way with any educational institution.

As an independent firm, Verity Asset Management similarly does not represent Fidelity Investments or TIAA-CREF. However, in order to provide investment advisory services to clients holding accounts at Fidelity Investments or TIAA-CREF, Verity does utilize certain technology platforms as provided by these vendors for the purpose of client servicing. These platforms provide enhanced tools and technology to more effectively monitor client accounts and implement allocation strategies, but only with all appropriate written authorizations from each individual investor.

Fees for our services are established with consideration for the type of service we are providing and the size of the accounts. We do not receive any compensation from Fidelity Investments or TIAA-CREF. As an SEC-registered investment adviser, we have a fiduciary responsibility to act in the best interest of our clients. Our Code of Ethics further stipulates that we place the interests of clients ahead of the interests of the firm and the firm's employees.

Print Name

Signature Date

This summary is for the purpose of providing clarity regarding the relationship of Verity Asset Management with the other entities mentioned above. It does not provide all of the information you should consider. Prior to entering into an Investment Advisory Agreement, please review all associated descriptions and documents, including but not limited to Form ADV, Part II and Schedule F, for a more complete understanding.