Verity Investments Inc.

Assets Under Advisement / External Account Registration

280 S. Mangum Street | 550 Diamond View II | Durham, NC 27701
Phone: (800) 247-6717 | Fax: (919 489-8939

Account Holder: ______________________ SSN/TIN: ______________ DOB: __________

Address: ____

E-mail: ______________________ Home Phone: ______________ Other: __________

Employer: ______________________________ Work Phone: ______________

As part of its investment management services, Verity Investments, Inc ("Verity") will manage the assets in your 401(k), 401(a), 403(b) or other retirement plan account listed below. Where proper authorizations have been provided by you and any applicable third parties, Verity will manage the portfolios on a discretionary basis and have limited power of attorney to execute allocation changes without obtaining your consent prior to every transaction. The limited power of attorney will only apply in regards to investment allocation within the authorized account and among the investment options permitted by the applicable plan sponsor as available at the custodian you have selected in order to implement the model strategy selected by you and your investment adviser representative. Discretion does not apply to modifying the selected model strategy, distribution authorization, beneficiary designation, or any other legal authority relating to to the account. Verity Investments, Inc. is not a custodian.

Procedural safeguards exist to monitor excessive trading policies when present at the custodian level. Please be advised that personal trading initiated by you may conflict with these monitoring procedures and could have unintended consequences in your account. Verity Investments, Inc. is not responsible for any trading restrictions or other actions that a custodian may initiate as a result of trading activity initiated by you. Please discuss any such contemplated changes with your investment adviser representative in advance. This agreement may be revoked at any time by you via notification to Verity, your investment adviser representative, or your selected account custodian.

Annual Advisory Fee Schedule			
First	$	250,000	1.25%
Next	$	250,000	1.10%
Next	$	250,000	0.95%
Above	$	750,000	0.80%

Verity will collect an annual fee for this service in accordance with the schedule indicated. Fees are paid quarterly in arrears based on the account's average daily balance over the prior quarter. Fees will be deducted from your account(s) or billed to you if such deductions are not feasible based on the size or nature of the account(s). Fees are payable on the first day of the calendar quarter and will be prorated for partial quarters.

Apply Fee Links

Retirement accounts held by you and by your spouse may be linked together for purposes of household fee calculation. Please indicate if accounts are held by another qualifying individual.

Accountholder Name: ______________________

SSN: ______________________

Accounts Under Management

Investment advisory fees may be deducted from these accounts. (Please attach a current account statement.)

In consultation with my investment adviser representative, I have reviewed and selected the following managed strategy:

Managed Strategy: ☐ Moderate Allocation ☐ Core Allocation ☐ Aggressive Allocation — O 401(a)

Employer: ______________________ Plan Number: ______________ O 403(b)(7)

Custodian / Vendor: ______________________ Account Number: ______________ O Other:_________

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Employer: ______________________ Plan Number: ______________ O 403(b)(7)

Custodian / Vendor: ______________________ Account Number: ______________ O Other:_________

______________________ ______________
Participant Signature — Date

Accepted by:

______________________ ______________
Principal, Verity Investments, Inc. — Date

____
Investment Adviser Representative - Print Name

Phone: ______________________

____
RIA Firm Name