



Verity Multi-Manager Exchange (VMX)
Fidelity IWS Program Enrollment

280 S. Mangum Street | 550 Diamond View II | Durham, NC 27701
Phone: (800) 247-6717 | Fax: (919) 489-8939

Account Holder: _____ SSN/TIN: _____ DOB: _____

Address: _____

E-mail: _____ Home Phone: _____ Other: _____

Identification: O Driver's License # _____ Issue Date: _____ Expiration: _____

Witnessed by	Exception	Non-Documentary Verification	Date
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* Is the account holder employed by another broker/dealer or investment adviser? Yes No If yes, please state:

Account Number

Fidelity Account Number: _____ Direct Debit Alternate Account # for Debit: _____

Advisory Fee

Advisory Fee: _____ basis points, annually.

Management Fee

Household Value:	\$0 - \$249,999	0.45%	\$250,000 - \$499,999	0.42%
	\$500,000 - \$999,999	0.40%	Over \$1,000,000	0.35%

Models/Strategies

Allocation %	Estimated \$	Asset Allocation-Mutual Fund Only
%	\$	Verity Conservative Asset Allocation
%	\$	Verity Balanced Growth
%	\$	Verity Dynamic Growth
%	\$	Verity Focused Growth
Separate Account Strategies		
%	\$	Verity Bond Ladder / Fixed Income \$100,000 minimum investment
		Additional 20 basis point fee, applicable for four quarters following initial prorated quarter
%	\$	Verity Capital Advisory Program \$1,000,000 minimum investment
		Additional 20 basis point fee, applicable for four quarters following initial prorated quarter
Non-Managed Assets No Management Fee		
	\$	Holding: _____
	\$	Holding: _____
	\$	Holding: _____
	\$	Holding: _____
	\$	Holding: _____
	\$	Holding: _____

Transaction fees are not included in the above fee schedule.

Investment Adviser Representative Signature _____

Date _____

Investment Adviser Representative Name _____

Accepted by Verity Asset Management
Review Principal: _____

Version 12/2018

RIA Firm Name _____

Phone Number: _____