



Verity Multi-Manager Exchange (VMX) Program Enrollment

280 S. Mangum Street | 550 Diamond View II | Durham, NC 27701
 Phone: (800) 247-6717 | Fax: (919) 489-8939

Account Holder: _____ SSN/TIN: _____ DOB: _____

Address: _____

E-mail: _____ Home Phone: _____ Other: _____

Identification: Driver's License # _____ Issue Date: _____ Expiration: _____

Witnessed by	Exception	Non-Documentary Verification	Date
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* Is the account holder employed by another broker/dealer or investment adviser? Yes No If yes, please state: _____

Account Number **E*Trade Account Number:** _____ Direct Debit **Alternate Account # for Debit:** _____

Management Fee	Household Value:			
	\$0 - \$249,999	0.70%	\$250,000 - \$499,999	0.65%
	\$500,000 - \$999,999	0.55%	Over \$1,000,000	0.45%

Advisory Fee **Advisory Fee:** _____ basis points, annually.

Allocation %	Estimated \$	
%	\$	Tactical Allocation
%	\$	Verity Conservative Total Return Additional 10 basis point fee
%	\$	Verity Tactical All Asset
%	\$	Verity Concentrated Rotation
Asset Allocation-Mutual Fund Only		
%	\$	Verity Conservative Asset Allocation
%	\$	Verity Balanced Growth
%	\$	Verity Dynamic Growth
%	\$	Verity Focused Growth
Passive / Active Allocation \$10,000 Minimum Investment		
%	\$	First Ascent Global Explorer Series (Additional 25 basis points on first \$200,000 in each model)
Series: <input type="radio"/> 20 <input type="radio"/> 40 <input type="radio"/> 60 <input type="radio"/> 80 <input type="radio"/> 100 Indicate for: _____ Tax Sensitive Series		
U.S. Equity \$10,000 Minimum Investment		
%	\$	Verity Domestic Equity Opportunity
%	\$	Verity Multi-Cap Value Additional 25 basis point fee
%	\$	Verity Small/Mid Cap Value Additional 25 basis point fee
Global Equity \$10,000 Minimum Investment		
%	\$	Verity International Equity & Income Additional 30 basis point fee
Income		
%	\$	Verity Opportunistic Income Additional 25 basis point fee, \$5,000 minimum investment
%	\$	Verity Enhanced Income \$50,000 minimum investment
%	\$	Verity Tax-Exempt Income \$50,000 minimum investment
Separate Account Strategies		
%	\$	Verity Dividend Builder (Separate Account) Additional 25 basis point fee, \$250,000 minimum investment
%	\$	Verity Bond Ladder / Fixed Income \$100,000 minimum investment Additional 20 basis point fee, applicable for four quarters following initial prorated quarter
%	\$	Verity Capital Advisory Program \$1,000,000 minimum investment Additional 20 basis point fee, applicable for four quarters following initial prorated quarter
Other Non-Modeled Assets No Management Fee; 30 basis point Platform Fee applies		
%	\$	
%	\$	

Transaction fees are not included in the above fee schedule.

Annuity Account **Product / Model Name:** _____ **Investment Management Fee**
 50 basis points, annually

Investment Adviser Representative Signature _____ Date _____ Investment Adviser Representative Name _____

Accepted by Verity Asset Management
 Review Principal: